

CERTIFICATE OF COMPLIANCE

As of 4/15/2022

Cascade Receivables Management, LLC has successfully completed all of the requirements to be considered a TECH LOCK® Certified Merchant by being assessed against the following data security standards, regulations, and laws:

- GLBA
- FTC Red Flags Rule
- ISO 27002
- Massachusetts 201 CMR 17.00
- Nevada NRS 603A
- PCI DSS 3.2.1
- HIPAA

TECH LOCK® has evaluated Cascade Receivables Management, LLC and is certifying Cascade Receivables Management, LLC is compliant with the required controls of the listed laws and data security standards bulleted above. As part of TECH LOCK® Certified Program, Cascade Receivables Management, LLC is required to inform TECH LOCK® of any significant changes to its environment that impacts validation conditions as laid out within the laws and standards Cascade Receivables Management, LLC has been certified in. To maintain TECH LOCK® Certified, Cascade Receivables Management, LLC is required to submit successfully passed ongoing quarterly scans for its systems and provide to TECH LOCK® information regarding any new systems or components that store, process, or transmit confidential, cardholder, or client data. This certificate is valid for NO MORE THAN ONE YEAR from the above date of compliance. This certificate is for sole purpose of identifying the laws or standards reviewed and documented in the TECH LOCK® report.



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| Lead Assessor | <i>Nicholas Stephens</i> | Nick Stephens | Practice Director |
| | Signature | Name | Title |